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Docket No.: CHANZ1.001APC

August 11, 2006

Page 1 of 1



Please Direct All Correspondence to Customer Number 20995

**TRANSMITTAL LETTER**  
**INFORMATION DISCLOSURE STATEMENT**

Applicant : Tang, Y.H. e al.  
App. No : 10/578,450 ✓  
Filed : May 5, 2006  
For : PREPARATION OF SELF-ASSEMBLED  
SILICON NANOTUBES BY  
HYDROTHERMAL METHOD  
Examiner : Unknown  
Art Unit : Unknown

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 11, 2006

(Date)  
  
Sabin H. Lee, Reg. No. 43,745

**Mail Stop Amendment**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing 11 references.
  - (X) Enclosing 11 references.
- (X) Recordation Form Cover Sheet with Assignment in 4 pages.
  - (X) A check in the amount of \$40.00 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Sabin H. Lee  
Registration No. 43,745  
Attorney of Record  
Customer No. 20,995  
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Multiple sheets used when necessary)</i>	10/578,450	PCT/CN05/000630
	Filing Date	May 5, 2006
	First Named Inventor	Tang, Y.H. et al.
	Art Unit	Unknown
	Examiner	Unknown
SHEET 1 OF 1	Attorney Docket No.	CHANZL.001APC

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	1	CN 1474434A	02/11/2004			X (Abstract only translated)
	2	CN 1454841A	11/12/2003			X (Abstract only translated)
	3	CN1482207A	12/21/1999			X (Abstract only translated)
	4	JP 11-349321 A	12/21/1999			X

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>
	5	Li, Mengke et al., Preparation of well-aligned carbon nanotubes/silicon nanowires composite structure arrays, Science in China (Series B), 2002, 32 (3): 204-209 (also titled Preparation of Orientation Carbon Nanotube/Silicon nanowire Complex Array, in English abstract)	X (Abstract only translated)
	6	Zhou, Guangwen et al., Synthesis and micro-structural study of one-dimensional nano-materials, Science in China (Series A), 1999, 29 (I): 85-91 (also titled Synthesis of One-dimensional Nano Material and Its Microstructure, in English abstract)	X (Abstract only translated)
	7	Sha, Jian et al., Silicon Nanotubes, Advanced Materials, 2002, 14(17): 1219-1221+1179	
	8	Tang, Y.H. et al., Self-Assembled Silicon Nanotubes under Supercritically Hydrothermal Conditions, PRL 95, 116102 (2005)	
	9	Jeong, Seung Yol et al., Synthesis of Silicon Nanotubes on Porous Alumina Using Molecular Beam Epitaxy, Advanced Materials, 2003, 15(14): 1172-1176	
	10	Charlier, Jean-Christophe, Microscopic Growth Mechanisms for Carbon Nanotubes, Science, 275: 646-649	
	11	International Search Report mailed September 15, 2006 in PCT/CN2005/000630	

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040306

Examiner Signature	Date Considered
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**\*Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.